

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE** #3

Applicant: Humpert, et al. :  
Serial No.: 09/890,203 :  
International Serial No.: PCT/EP00/00271 :  
International Filing Date: 01/14/00 :  
Priority Date: 02/08/99 :  
Attny No.: 60,174-021 :  
Title : SUPPORT SYSTEM FOR :  
FUNCTIONAL UNIT :

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**  
**UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED**  
**STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX: PCT

8/11/01

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated States Designated/Elected Office (DO/EO/US) dated September 6, 2001, applicant is submitting herewith the required *Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.*

Also enclosed herewith is a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated States Designated/Elected Office (DO/EO/US) as requested.

According to the notification, a request has been made for payment of a surcharge for the late filing of the Declaration. A check in the amount of \$130.00 is enclosed for the surcharge. The Commissioner is hereby authorized to charge any additional fees that may be necessary and/or credit any overpayments to our deposit account number 08-2789

10/10/2001 SKAJARRO 00000106 09890203

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130.00 OP

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.




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Date: October 2, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this Response is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on **October 2, 2001**.

  
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Tracy L. Smith

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## UNITED STATES PATENT AND TRADEMARK OFFICE

09/890,203

HUMPERT

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
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U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/890203

5071

PCT/EP00/00271

INTERNATIONAL APPLICATION NO.

RAYMOND E SCOTT

HOWARD &amp; HOWARD ATTORNEYS

39400 WOODWARD AVENUE SUITE 101

BLOOMFIELD HILLS MI 48304

I.A. FILING DATE

PRIORITY DATE

02/08/99

09/06/01

DATE MAILED:

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.
- ☒ Copy of the international application. ☒ Translation of the international application into English.
- ☐ Oath or Declaration of inventors(s). ☐ Translation of Article 19 amendments into English.
- ☐ Copy of Article 19 amendments. ☐ Other:
- ☒ Priority Document.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
- ☐ PTO-875 ☐ PCT/DO/EO/920

Lamont Hunter, Paralegal

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